



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Ross S. Tsugita

Serial No.: 10/666,043

Examiner: Unknown

Filed: September 19, 2003

Group Art Unit: Unknown

For: GUIDED FILTER WITH SUPPORT WIRE AND METHODS OF USE

Docket No.: 1001.1420103

**TRANSMITTAL SHEET**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13<sup>th</sup> day of November, 2003.

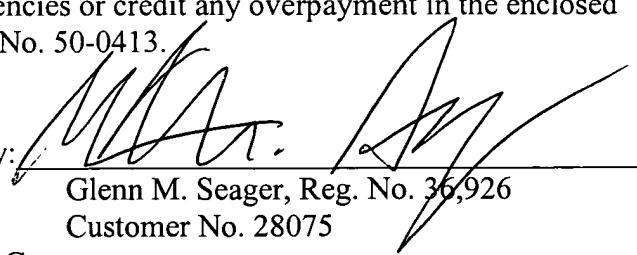
By

  
Glenn M. Seager

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement.
- ☒ Form 1449 (no references are provided pursuant to 37 CFR §1.98(d).
- ☒ Postcard receipt.
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By:

  
Glenn M. Seager, Reg. No. 36,926  
Customer No. 28075

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



PATENT

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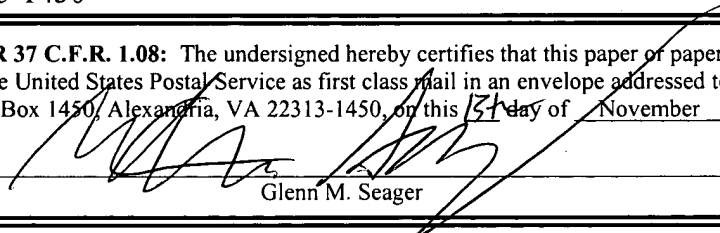
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**CERTIFICATE UNDER 37 C.F.R. 1.08:** The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 13<sup>th</sup> day of November, 2003.

By

  
Glenn M. Seager

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Copies of the documents listed on the 1449 Form were previously either submitted by Applicant or cited by the U.S. Patent and Trademark Office in at least one of the prior applications, U.S. Application No. 09/677,119, filed September 29, 2000 and U.S. Application No. 09/366,192, filed August 3, 1999, now U.S. Patent No. 6,142,987,

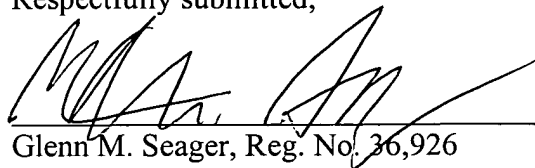
upon which Applicant relies for the benefits provided in 35 U.S.C. §120. Therefore, under the provisions of 37 C.F.R. §1.98(d), copies of these documents are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated:

Nov. 13, 2003

Respectfully submitted,



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<b>FORM PTO-1449</b>	Atty. Docket No.: 1001.1420103	Serial No.: 10/666,043
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Tsugita, R.	
	Filing Date: September 19, 2003	Group Art: Unknown

## PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,344,049	02/05/02	Levinson et al.			
	6,319,268	11/20/01	Ambrisco et al.			
	6,309,399	10/30/01	Barbut et al.			
	6,290,710	09/18/01	Cryer et al.			
	6,287,321	09/11/01	Jang			
	6,280,413	08/28/01	Clark et al.			
	6,277,139	08/21/01	Levinson et al.			
	6,277,138	08/21/01	Levinson et al.			
	6,270,513	08/07/00	Tsugita et al.			
	6,264,672	07/24/01	Fisher			
	6,264,663	07/24/01	Cano			
	6,258,115	07/10/01	Dubrul			
	6,245,089	06/12/01	Daniel et al.			
	6,245,088	06/12/01	Lowery			
	6,245,087	06/12/01	Addis			
	6,238,412	05/29/01	Dubrul et al.			
	6,235,045	05/22/01	Barbut et al.			
	6,235,044	05/22/01	Root et al.			
	6,231,544	05/15/01	Tsugita et al.			
	6,224,620	05/01/01	Maahs			
	6,221,006	04/24/01	Dubrul et al.			
	6,214,026	04/10/01	Lepak et al.			
	6,206,868	03/24/01	Parodi			
	6,203,561	03/20/01	Ramee et al.			
	6,179,861	01/30/01	Khosravi et al.			

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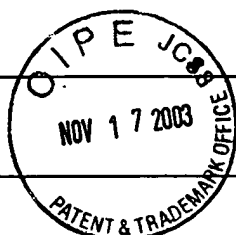
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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	6,179,859	01/30/01	Bates et al.			
	6,179,851	01/30/01	Barbut et al.			
	6,171,328	01/09/01	Addis			
	6,171,327	01/09/01	Daniel et al.			
	6,168,579	01/02/01	Tsugita			
	6,165,200	12/26/00	Tsugita et al.			
	6,152,946	11/28/00	Broome et al.			
	6,142,987	11/07/00	Tsugita			
	6,136,016	10/24/00	Barbut et al.			
	6,129,739	10/10/00	Khosravi			
	6,086,605	07/11/00	Barbut et al.			
	6,068,645	05/30/00	Tu			
	6,066,149	05/23/00	Samson et al.			
	6,059,814	05/09/00	Ladd			
	6,053,932	04/25/00	Daniel et al.			
	6,051,015	04/18/00	Maahs			
	6,051,014	04/18/00	Jang			
	6,042,598	03/28/00	Tsugita et al.			
	6,027,520	02/22/00	Tsugita et al.			
	6,013,085	01/11/00	Howard			
	6,010,522	01/04/00	Barbut et al.			
	6,007,557	12/28/99	Ambrisco et al.			
	6,001,118	12/14/99	Daniel et al.			
	5,997,557	12/07/99	Barbut et al.			
	5,993,469	11/30/99	McKenzie et al.			
	5,989,281	11/23/99	Barbut et al.			

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	5,989,271	11/23/99	Bonnette et al.			
	5,989,210	11/23/99	Morris et al.			
	5,980,555	11/09/99	Barbut et al.			
	5,976,172	11/02/99	Homsma et al.			
	5,954,745	09/21/99	Gertler et al.			
	5,951,585	09/14/99	Cathcart et al.			
	5,947,995	09/07/99	Samuels			
	5,941,896	08/24/99	Kerr			
	5,941,869	08/24/99	Patterson et al.			
	5,938,645	08/17/99	Gordon			
	5,935,139	08/10/99	Bates			
	5,934,284	08/10/99	Plaia et al.			
	5,928,218	07/27/99	Gelbfish			
	5,928,203	07/27/99	Davey et al.			
	5,925,063	07/20/99	Khosravi			
	5,925,062	07/20/99	Purdy			
	5,925,060	07/20/99	Forber			
	5,925,016	07/20/99	Chornenky et al.			
	5,916,193	06/29/99	Stevens et al.			
	5,911,734	06/15/99	Tsugita et al.			
	5,910,154	06/08/99	Tsugita et al.			
	5,908,435	06/01/99	Samuels			
	5,906,618	05/25/99	Larson, III			
	5,902,263	05/11/99	Patterson et al.			
	5,895,399	04/20/99	Barbut et al.			
	5,893,867	04/13/99	Bagaoisan et al.			

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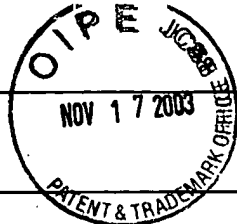
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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,876,367	03/02/99	Kaganov et al.			
	5,848,964	12/15/98	Samuels			
	5,846,260	12/08/98	Maahs			
	5,833,650	11/10/98	Imran			
	5,833,644	11/10/98	Zadno-Azizi et al.			
	5,827,324	10/27/98	Cassell et al.			
	5,817,102	10/06/98	Johnson et al.			
	5,814,064	09/29/98	Daniel et al.			
	5,810,874	09/22/98	Lefebvre			
	5,800,525	09/01/98	Bachinski et al.			
	5,800,457	09/01/98	Gelbfish			
	5,797,952	08/25/98	Klein			
	5,795,322	08/18/98	Boudewijn			
	5,792,300	08/11/98	Inderbitzen et al.			
	5,792,157	08/11/98	Mische et al.			
	5,779,716	07/14/98	Cano et al.			
	5,769,816	06/1998	Barbut			
	5,749,848	05/1998	Jang			
	5,746,758	05/05/98	Nordgren et al.			
	5,728,066	03/17/98	Daneshvar			
	5,720,764	02/24/98	Naderlinger			
	5,709,704	01/20/98	Nott et al.			
	5,695,519	12/09/97	Summers et al.			
	5,669,933	09/23/97	Simon et al.			
	5,662,671	09/1997	Barbut			
	5,658,296	08/19/97	Bates et al.			

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	5,634,897	06/03/97	Dance et al.			
	5,569,275	10/29/96	Kotula et al.			
	5,569,274	10/29/96	Rapacki et al.			
	5,562,724	10/08/96	Vowork et al.			
	5,549,626	08/27/96	Miller et al.			
	5,540,707	07/30/96	Ressemann et al.			
	5,536,242	07/16/96	Willard et al.			
	5,527,354	06/18/96	Fontaine et al.			
	5,512,044	04/30/96	Duer			
	5,507,767	04/16/96	Maeda et al.			
	5,484,418	01/16/96	Quiachon et al.			
	5,476,104	12/19/95	Sheahon			
	5,462,529	10/31/95	Simpson et al.			
	5,456,667	10/10/95	Ham et al.			
	5,449,372	09/12/95	Schmaltz et al.			
	5,443,498	08/22/95	Fontaine			
	5,425,765	06/20/95	Tiefenbrun et al.			
	5,423,885	06/13/95	Williams			
	5,423,742	06/13/95	Theron			
	5,421,832	06/06/95	Lefebvre			
	5,419,774	05/30/95	Willard et al.			
	5,415,630	05/16/95	Gory et al.			
	5,409,454	04/25/95	Fischell et al.			
	5,405,377	04/11/95	Cragg			
	5,397,345	03/14/95	Lazerus			
	5,395,349	03/07/95	Quiachon et al.			

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	5,387,235	02/07/95	Chuter			
	5,383,926	01/24/95	Lock et al.			
	5,383,892	01/24/95	Cardon et al.			
	5,383,887	01/24/95	Nadal			
	5,376,100	12/27/94	Lefebvre			
	5,370,683	12/06/94	Fontaine			
	5,370,657	12/06/94	Irie			
	5,366,473	11/22/94	Winston et al.			
	5,366,464	11/22/94	Belknap			
	5,356,423	10/18/94	Tihon et al.			
	5,354,310	10/11/94	Garnic et al.			
	5,350,398	09/27/94	Pavcnik et al.			
	5,330,500	07/19/94	Song			
	5,330,484	07/19/94	Gunther et al.			
	5,329,942	07/19/94	Gunther et al.			
	5,318,576	06/07/94	Plassche, Jr. et al.			
	5,314,472	05/24/94	Fontaine			
	5,314,444	05/24/94	Gianturco			
	5,306,286	04/26/94	Stack et al.			
	5,224,953	07/06/93	Morgentaler			
	5,195,955	03/23/93	Don Michael			
	5,190,546	03/02/93	Jervis			
	5,171,233	12/15/92	Amplatz et al.			
	5,160,342	11/03/92	Reger et al.			
	5,152,777	10/06/92	Goldberg et al.			
	5,152,771	10/06/92	Sabbaghian et al.			

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Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,135,531	08/04/92	Shiber			
	5,133,733	07/28/92	Rasmussen et al.			
	5,108,419	04/28/92	Reger et al.			
	5,104,399	04/14/92	Lazarus			
	5,102,415	04/07/92	Guenther et al.			
	5,100,425	03/31/92	Fischell et al.			
	5,100,424	03/31/92	Jang et al.			
	5,100,423	03/31/92	Fearnott			
	5,087,265	02/11/92	Summers			
	5,085,662	02/04/92	Willard			
	5,071,425	12/10/91	Gifford, III et al.			
	5,071,407	12/10/91	Termin et al.			
	5,053,044	10/01/91	Mueller et al.			
	5,053,008	10/1991	Bajaj			
	5,041,126	08/20/91	Gianturco			
	5,019,088	05/28/91	Farr			
	5,011,488	04/30/91	Ginsburg			
	5,007,917	04/16/91	Evans			
	5,007,896	04/16/91	Shiber			
	5,002,560	03/26/91	Machold et al.			
	4,998,539	03/12/91	Delsanti			
	4,986,807	01/22/91	Farr			
	4,979,951	12/25/90	Simpson			
	4,969,891	11/13/90	Gewertz			
	4,957,482	09/18/90	Shiber			
	4,955,895	09/11/90	Sugiyama et al.			

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	4,950,277	08/21/90	Farr			
	4,926,858	05/22/90	Giffort, III et al.			
	4,921,484	05/01/90	Hillstead			
	4,921,478	05/01/90	Solano et al.			
	4,907,336	03/13/90	Gianturco			
	4,898,575	02/06/90	Fischell et al.			
	4,873,978	10/1989	Ginsburg			
	4,867,157	09/19/89	McGurk-Burleson et al.			
	4,857,046	08/15/89	Stevens et al.			
	4,857,045	08/15/89	Rydell			
	4,842,579	06/27/89	Shiber			
	4,807,626	02/28/89	McGirr			
	4,800,882	01/31/89	Gianturco			
	4,794,931	01/03/89	Yock			
	4,794,928	01/03/89	Kletschka			
	4,790,813	12/13/88	Kensey			
	4,790,812	12/13/88	Hawkins, Jr. et al.			
	4,733,665	03/29/88	Palmaz			
	4,728,319	03/01/88	Masch			
	4,723,549	02/09/88	Wholey et al.			
	4,706,671	11/17/87	Weinrib			
	4,705,517	11/10/87	DiPisa, Jr.			
	4,662,885	05/05/87	DiPisa, Jr.			
	4,650,466	03/17/87	Luther			
	4,643,184	02/17/87	Mobin-Uddin			
	4,631,052	12/23/86	Kensey			

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	4,619,246	10/28/86	Molgaard-Nielsen et al.			
	4,590,938	05/27/86	Segura et al.			
	4,580,568	04/08/86	Gianturco			
	4,447,227	05/08/84	Kotsanis			
	4,425,908	01/17/84	Simon			
	4,046,150	09/06/77	Schwartz et al.			
	3,996,938	12/14/76	Clark, III			
	3,952,747	04/27/76	Kimmell, Jr.			
	3,889,657	06/17/75	Baumgarten			
	3,683,904	08/15/72	Forster			
	3,592,186	07/13/71	Oster			
	3,472,230	10/1969	Fogarty			
	Re 33,569	04/1991	Gifford, III et al.			
	B1 4,842,579	10/31/95	Shiber			

## FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
	WO 01/91824	12/06/01	WIPO			
	WO 01/89413	11/29/01	WIPO			
	WO 01/87183	11/22/01	WIPO			
	WO 01/72205	10/04/01	WIPO			
	WO 01/70326	09/27/01	WIPO			
	WO 01/67989	09/20/01	WIPO			
	EP 1 127 556 A2	08/29/01	EPO			
	WO 01/60442	08/23/01	WIPO			

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		WO 01/58382	08/16/01	WIPO			
		WO 01/52768	07/26/01	WIPO			
		WO 01/49355	07/12/01	WIPO			
		WO 01/49215	07/12/01	WIPO			
		WO 01/49209	07/12/01	WIPO			
		WO 01/49208	07/12/01	WIPO			
		WO 01/47579	07/05/01	WIPO			
		WO 01/43662	06/21/01	WIPO			
		WO 01/35857	05/25/01	WIPO			
		WO 01/26726	04/19/01	WIPO			
		WO 01/21100	03/29/01	WIPO			
		WO 01/21077	03/29/01	WIPO			
		WO 01/15629	03/08/01	WIPO			
		WO 01/10320	02/15/01	WIPO			
		WO 01/08743	02/08/01	WIPO			
		WO 01/08742	02/08/01	WIPO			
		WO 01/08596	02/08/01	WIPO			
		WO 01/08595	02/08/01	WIPO			
		WO 01/05462	01/25/01	WIPO			
		WO 00/67669	11/16/00	WIPO			
		WO 00/67668	11/16/00	WIPO			
		WO 00/67666	11/16/00	WIPO			
		WO 00/67665	11/16/00	WIPO			
		WO 00/67664	11/16/00	WIPO			
		DE 199 16 162	10/26/00	Germany			English Abstract
		WO 00/53120	09/14/00	WIPO			

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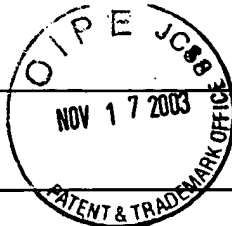
<b>FORM PTO-1449</b>	Atty. Docket No.: 1001.1420103	Serial No.: 10/666,043
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Applicant: Tsugita, R.	
	Filing Date: September 19, 2003	Group Art: Unknown

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
		WO 00/49970	08/31/00	WIPO			
		WO 00/16705	03/30/00	WIPO			
		WO 00/09054	02/24/00	WIPO			
		WO 00/07655	02/17/00	WIPO			
		WO 00/07521	02/17/00	WIPO			
		WO 99/58068	11/18/99	WIPO			
		WO 99/55236	11/04/99	WIPO			
		WO 99/44542	09/10/99	WIPO			
		WO 99/44510	09/10/99	WIPO			
		EP 0 934 729	08/11/99	WIPO			
		WO 99/42059	08/26/99	WIPO			
		WO 99/40964	08/19/99	WIPO			
		WO 99/30766	06/24/99	WIPO			
		WO 99/25252	05/27/99	WIPO			
		WO 99/23976	05/20/99	WIPO			
		WO 99/22673	05/14/99	WIPO			
		FR 2 768 326 A1	03/19/99	FR			English Abstrast
		WO 99/09895	03/04/99	WIPO			
		WO 98/55175	12/10/98	WIPO			
		WO 98/51237	11/19/98	WIPO			
		WO 98/50103	11/12/98	WIPO			
		WO 98/49952	11/12/98	WIPO			
		WO 98/47447	10/29/98	WIPO			
		WO 98/46297	10/22/98	WIPO			
		WO 98/39053	09/11/98	WIPO			
		WO 98/39046	09/11/98	WIPO			

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	Filing Date: September 19, 2003	Group Art: Unknown

		Document No.	Date	Country	Class	Sub Class	Translation Yes No
		WO 98/38929	09/11/98	WIPO			
		WO 98/38920	09/11/98	WIPO			
		WO 98/36786	08/27/98	WIPO			
		WO 98/33443	08/06/98	WIPO			
		EP 0 852 132 A1	07/08/98	EPO			English Abstract
		WO 98/02084	01/22/98	WIPO			
		WO 98/02112	01/22/98	WIPO			English Abstract
		WO 97/42879	11/20/97	WIPO			
		WO 97/27808	08/07/97	WIPO			
		WO 97/17100	05/15/97	WIPO			
		EP 0 771 549 A2	05/07/97	EPO			English Abstract
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		EP 0 743 046 A1	11/20/96	EPO			
		WO 96/33677	10/31/96	WIPO			
		EP 0 737 450 A1	10/16/96	EPO			
		WO 96/23441	08/08/96	WIPO			
		JP 8-187294 A	07/23/96	JP			English Abstract
		WO 96/19941	07/04/96	WIPO			
		WO 96/10375	04/11/96	WIPO			
		WO 96/01591	01/25/96	WIPO			
		EP 0 696 447 A2	02/14/96	EP			
		EP 0 686 379 A2	06/02/95	EP			
		EP 0 655 228 A1	11/22/94	EPO			English Abstract
		WO 94/24946	11/10/94	WIPO			
		WO 94/14389	07/07/94	WIPO			English Abstract
		EP 0 535 511 A1	03/24/93	WIPO			

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	Filing Date: September 19, 2003	Group Art: Unknown

Document No.	Date	Country	Class	Sub Class	Translation Yes No
FR 2 694 687	08/12/92	FR			English Abstract
FR 2 666 980	03/27/92	FR			English Abstract
WO 92/03097	03/05/92	WIPO			
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DE 34 17 738	11/1985	DE			
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	"Recognition and Embolic Potential of Intraaortic Atherosclerotic Debris," American College of Cardiology (January 1991)

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	Filing Date: September 19, 2003	Group Art: Unknown

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